



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

CRAIG H. BARRATT, ET AL.

Application No.: 09/676,888

Filed: September 29, 2000

For: NON-DIRECTIONAL TRANSMITTING

FROM A WIRELESS DATABASE

STATION HAVING A SMART

ANTENNA SYSTEM

Art Group: 2681

Examiner: Not Yet Assigned

RECEIVED

JUN 0 3 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Philip A. Pedigo, Reg. No. 52,107 Date: May 30, 2003

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Derek S. Watson

-2-

Date

05-30-03

15685P027

2681

Application No. 09/676,888 TRANSMITTAL FORM September 29, 2000 Filing Date RECEIVED (to be used for all correspondence after initial filing) First Named Inventor Craig H. Barratt JUN 0 3 2003 Group Art Unit 2681 Not Yet Assigned Technology Certer 2600 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 15685P027 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund - Copies of 14 cited Information Disclosure Statement references PTO/SB/08 Return Postcard CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Philip A. Pedigo, Reg. No. 52,107 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature

CERTIFICATE OF MAILING/TRANSMISSION

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22313-1430.	_			
Typed or printed name	Derek S. Watson			
Signature	Puls.	all -	Date	May 30, 2003

May 30, 2003

Date

FEE TRANSMITTAL (8)		ا. ا	Complete if Known		1
FEE I RANSIVII I I	Amplication Number	09/676,888			
for FY 2003	\ \ \	Fing Date	September 29, 2000	RECEIV	ED
Effective 01/01/2003. Patent fees are subject to annual r	revision. ATENT & TO	First Named Inventor	Craig H. Barratt		
Applicant claims small entity status. See 37	CFR 1.27.	Examiner Name	Not Yet Assigned	JUN 0 3 2	003
		Group/Art Unit	2681		
TOTAL AMOUNT OF PAYMENT (\$)	7	Attorney Docket No.	15685p027	Technology Cent	er 2600

METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None	3. AI	OITID	NAL	FEES		
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☑ None ☐ Deposit Account	Large	Entity	j Sma	II Entity	,	
	Fee	Fee	Fee	Fee	_	
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee Description	ee Paid
Number U2-2000	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920°	1804	920 *	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.				4 040 *		
Charge fee(s) indicated below, except for the filling fee	1805	1,840*	1805	1,840 "	Requesting publication of SIR after Examiner action	
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month	
Large Entity Small Entity	1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1255	1,970	2255	985	Extension for reply within fifth month	
	1404	320	2401	160	Notice of Appeal	
1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing	
1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
1.000	1453	1,300	2453	650	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	470	2502	235	Design issue fee	
Claimsbelow Fee Paid	1503	630	2503	315	Plant issue fee	
Total Claims	1460	130	2460	130	Petitions to the Commissioner	
Independent 3 = X =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	1809	750	1809	375	Filing a submission after final rejection	
1202 18 2202 9 Claims in excess of 20					(37 CFR § 1.129(a))]
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	H
1203 280 2203 140 Multiple Dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination	[[
1205 18 2205 9 "Reissue claims in excess of 20 and over		socify)	.502	500	of a design application	
original patent		. (5,50.1)				
SUBTOTAL (2) (\$)						
**or number previously paid, if greater, For Reissues, see below	* Reduce	d by Basic	Filing Fe	e Paid	SUBTOTAL (3)	
SUBMITTED BY Complete (if applicable)					Complete (if applicable	

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Philip A. Pedigo	Registration No. (Attorney/Agent)	52,107	Telephone	(503) 684-6200
Signature	Mr. a. Pay			Date	05/30/03

Substitute for form 1449A/PTO

NIFORMATION DISCLOSURE STATEMENT BY APPLICANT

1 Sheet 1 of

Complete if Known					
Application Number	09/676,888				
Filing Date	September 29, 2000				
First Named Inventor	Craig H. Barratt				
Art Unit	2681				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	15685P027				

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5574977	11-12-1997	Joseph et al.	
	2	US-5619503	04-08-1997	Dent	
	3	US-5625880	04-29-1997	Goldburg et al.	DECEIVED
	4	US-5642353	06-24-1997	Roy III et al.	RECEIVED
	5	US-5684794	11-04-1997	Lopez et al.	JUN 0 3 2003
	6	US-5708971	01-13-1998	Dent	
	7	US-5870393	02-09-1999	Yano et al.	Technology Center 260
	8	US-5884178	03-16-1999	Ericsson et al.	166thology Com
	9	US-6037898	03-14-2000	Parish et al.	
	10	US-6141567	10-31-2000	Youssefmir et al.	~
	11	US-6219561	04-17-2001	Raleigh	
	12	US-6292664	09-18-2001	Ostrup et al.	
	13	US-6337985	01-08-2002	Roux	
		US-			
		US-		,	
		US-			

	FOREIGN PATENT DOCUMENTS								
Eveniens	Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	No.'	Country Code ^a - Number ^a - Kind Code ^a (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		'			
	14	EP 0 841 827 A2	05-13-1998						
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Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.